10/526924

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

JOHANNES MARRA et al.

NL 020878

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

LIGHTING DEVICE

Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Frank J. Keegan, Reg. 50,145

Attorney

(914) 333-9669

DTG3 Rec'd PCT/PTO 0 8 MAR 2005

| OFFICE | | ATTORNEY'S DOCKET NO. NL 020878 | | | | | | | |
|--------------------|---|--|--|--|--|--|--|--|--|
| TRA | ANSMITTAL LETTER TO THE UN OFFICE (DO/EO/US) CONCERNI | U.S. Application No. Ht known 500 7 4FR 1.5) | | | | | | | |
| | ATIONAL APPLICATION NO. 003/003854 | INTERNATIONAL FILING DATE September 1, 2003 | PRIORITY DATE CLAIMED September 12, 2002 | | | | | | |
| | F INVENTION IG DEVICE | | | | | | | | |
| APPLICA Johanne | ANT(S) FOR DO/EO/US as MARRA; Bas VAN DER HEIJDEN | | | | | | | | |
| Applican | nt(s) herewith submit to the United S | tates Designated/Elected Office (DO/EO/US) the fo | ollowing items and other information: | | | | | | |
| 1. [X] | | ns concerning a filing under 35 U.S.C. 371. | - | | | | | | |
| 2. [] | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. [] | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | | | | | | | | |
| 4. [] | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | | | | | | | |
| 5. [X] | copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. [] | | | | | | | | |
| 6. [] | A translation of the International Application into English (35 U.S.C. 371(c)(2)) | | | | | | | | |
| 7. [X] | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. | | | | | | | | |
| 8. [] | A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | |
| 9. [X] | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10.[] | A translation of the annexes to the | International Preliminary Examination Report und | der PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | |
| items 11. | to 16. below concern document(s) or | information included: | | | | | | | |
| 11. [] | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | | | | |
| 12. [X] | An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | | | | |
| 13. [X] [] | A FIRST preliminary amendment. A SECOND OR SUBSEQUENT preli | minary amendment. | | | | | | | |
| 14. [] | A substitute specification. | | | | | | | | |
| 15. [] | A change of power of attorney and/or address letter. | | | | | | | | |
| 16. [X] | Other items or information: | | | | | | | | |
| | X Statement under 37 CFR 3.73 | te Application Before the USPTO [PTO/SB/80] (b) [PTO/SB/96] CFR § 1.136(a)(3) and to Charge Deposit Account | ı | | | | | | |
| ffice to A | Date of Deposit I hereby certify that this | CERTIFICATE OF MAILING ag Label No. EV312011168-3-8-05 paper and fee is being deposited with the Ur 1.10 on the date indicated above and is address. | nited States Postal Service "Express Mail Posessed to the Commissioner for Patents, P.O. | | | | | | |

Jeanne Rusciano Typed Name

Box 1450, Alexandria, VA 22313-1450.

Signature

| U.S. APTLICATIONA | Of known see 3 c.F. | .R. 1.5) | R. 1.5) INTERNATIONAL APPLICATION NO. PCT /IB2003/003854 | | ATTORNEY'S DOCKET NUMBER NL 020878 | | | | | |
|---|--|-----------------------------|--|---------------------------------------|------------------------------------|----|--|--|--|--|
| 17 [X] The following | fees are submitted: | CALCULATIONS (PTO USE ONLY) | | | | | | | | |
| BASIC NATIONAL FE | OSE ONET | | | | | | | | | |
| Search Re | port has been prepared | | | | | | | | | |
| Internation (37 C.F.R. | nal preliminary-examinat 1.482) | | | | | | | | | |
| | itional preliminary exami 1.482) but international s 1.445(a)(2) | | | | | | | | | |
| Neither int 1.482) nor paid to US | ternational preliminary ex international search fee PTO | | | | | | | | | |
| Internation (37 C.F.R. Article 33(| nal preliminary examinati 1.482) and all claims sati 2)-(4) | | | | | | | | | |
| | ENTER APPROPRIATE | \$ 1000.00 | | | | | | | | |
| Surcharge of \$130.00 from the earliest clain | for furnishing the oath oned priority date (37 C.F. | \$ | | | | | | | | |
| CLAIMS | CLAIMS NUMBER FILED | | NUMBER EXTRA RATE | | | | | | | |
| Total Claims | tal Claims 15 - 20 = | | | X \$ 50.00 | \$ 0.00 | | | | | |
| Independent claims | Independent claims 2 - 3 = | | | X \$ 200.00 | \$ 0.00 | | | | | |
| MULTIPLE DEPENDER applicable) | | | + \$ 360.00 | \$ 0.00 | | | | | | |
| | TOTAL OF A | \$ 0.00 | | | | | | | | |
| Reductions by 1/2 for must also be filed (No | filing by small entity, if a te 37 C.F.R. 1.9, 1.27, 1.2 | \$ | | | | | | | | |
| | | \$ 1000.00 | | | | | | | | |
| Processing fee of \$130 months from the earlie | 0.00 for furnishing the Erest claimed priority date | \$ | | | | | | | | |
| | | \$ 1000.00 | | | | | | | | |
| Fee for recording the e accompanied by an ap | enclosed assignment (37 propriate cover sheet (3 | \$ 40.00 | | | | | | | | |
| | | \$ 1040.00 | | | | | | | | |
| | | Amount to be Refunded | \$ | | | | | | | |
| | | | | | Charged | \$ | | | | |
| a. [] A check in the amount \$ to cover the above fees is enclosed. | | | | | | | | | | |
| b. [X] Please char A duplicate | | | | | | | | | | |
| c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
| SEND ALL CORRESPO | NDENCE TO: | | | Frank? | Cagmily 105 | | | | | |
| Corporate Patent Coun | sel th America Corporation | (SIGNATURE) | | · · · · · · · · · · · · · · · · · · · | | | | | | |
| P.O. Box 3001 Briarcliff Manor, NY 10 | • | | | Frank Keegan (NAME) | | | | | | |
| | | 50,145 REGISTRATION NU | MREDI | | | | | | | |
| (REGISTRATION NUMBER) | | | | | | | | | | |